



TRANSMITTAL FORM

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|--|-----------|----------------------|-----------------------------|
| Total Number of Pages in This Submission | <u>15</u> | Application No. | 10/009,985 |
| | | Filing Date | December 11, 2001 |
| | | First Named Inventor | Jung-Chih Chiao |
| | | Examiner Name | Ben Loha |
| | | Group Art Unit | 2873 |
| | | Attorney Docket No. | A-68677/AJT/MSS (464334-95) |

| ENCLOSURES (check all that apply) | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input checked="" type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
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| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | Remarks | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | |
|--|---|-----------------------|
| Firm or Individual name | Maria S. Swiatek DORSEY & WHITNEY LLP 4 Embarcadero Center, Suite 3400 San Francisco, CA 94111 Telephone : 650 494 8700 | Customer Number 32940 |
| Signature | | |
| Date | October 15, 2003 | |

| CERTIFICATE OF MAILING | | |
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| I hereby certify that this correspondence is being deposited with the United States Postal Service with Sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: | | |
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| Typed or printed name | Kari Batmen | |
| Signature | | Date October 15, 2003 |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jung-Chih Chiao

Serial No.: **10/009,985**

Filed: **December 11, 2001**

For: **MEMS OPTICAL COMPONENTS**

Examiner: **Ben Loha**

Group Art Unit: **2873**

San Francisco, CA 94111

Date: **October 15, 2003**

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 15, 2003. Signed: _____

Karl Bateman
Karl Bateman

AMENDMENT

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is in response to the Office Action dated July 15, 2003 in the above-referenced application. Please amend the application as follows

The pending Claims are reflected in the listing of claims which begin on page 2 of this paper.

Amendments to the specification begin on page 12 of this paper.

Remarks begin on page 14 of this paper.

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